SERIAL 1029 16 468 35 U.S. DEPARTMENT OF COMMERCE Form PT0-1449 ATTY- DOCKET NO-PATENT AND TRADEMARK OFFICE 40470 APPLICANT INFORMATION DISCLOSURE CITATION Gabriele Multhoff FILING DATE GROUP (Use several sheets if necessary) 22 September 2000 Not Yet Assigned U.S. PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT NUMBER DATE NAME CLASS SUBCLASS FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT NUMBER DATE ZZAJ SUBCLASS COUNTRY YES FPO EP 0 843 005 13-11-KAL 97 Suzuki, S. et al., IL-12 Induced Enhancement...On Cancer Cells, Proceedings of the American Association For Cancer Research, Vol. 37, page 445, 1996 KAL Yasuaki Tamura, Ping Peng, Kang Liu, Maria Daou, Pramod K Srivastava; Immunotherapy of Tumors with Autologous Tumor-Derived Heat Shock Protein Preparations; 3 October 1997 Science Vol 278, 117-120 KHC Gabriele Multhoff, Claus Botzler, Marion Wiesnet, Gunther EiBner and Rolf Issels; CD3 Large Granular Lymphocytes Recognize a Heat-Inducible Immunogenic Determinant KAC Associated With the 72-kD Heat Shock Protein on Human Sarcoma Cells; Blood, Vol. 86, 28 March 1995; (pgs 1374 -1382) Heiichiro Udono and Pramod K Srivastava; Comparison of Tumor-Specific Immunogenicities of Stress-Induced Proteins gp9%, hsp90, and hsp70% J. of Immunology, Vol. 152, No. 1%, pages 5398-5403, 1994 KAL

Claus Botzler, Glaria Li, Rolf D. Issels and Gabriele Multhoff; Definition of extracellular Lized Epitopes of Hsp70 Involved I NK Immune Response, Cell Stress & Chapero vol. 3, no. 1 (1998) (pgs b-142 necd PCT/PTO 2 2 SEP)

WAC

Gabriele Multhoff, Claus Botzler and Rolf Issels, The Role of Heat Shock Proteins In the Stimulation of An Immune Response; Biol. Chem. Vol. 379, (pgs 295-300), March 1998

Savary et al., Role of Heat Shock Proteins in Immunity to Breast Cancer, Breast Cancer Research and Treatment, V. 4b, no. 1, page b9, 1997

Multhoff & Botzler, Heat-Shock Proteins and the Immune Response, Annals of the New York Academy of Sciences, Vol. 851, pages 8b-93, 1998

EXAMINER Adm. A. Januar

DATE CONSIDERED 12/12/0 2

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP b09; Draw Line through citation if not in conformance and not considered. Include copy of this

form with next communication to applicant.